

# Scientific Programming: Algorithms and Data Structures

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## Introduction

Luca Bianco - Academic Year 2020-21  
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[credits: thanks to Prof. Alberto Montresor]

# About me

## **Computer Science**

Ph.D. at the University of Verona, Italy, with thesis on Simulation of Biological Systems

## **Research Fellow at Cranfield University - UK**

Three years at Cranfield University working at proteomics projects (GAPP, MRMAid, X-Tracker...)

Module manager and lecturer in several courses of the MSc in Bioinformatics

## **Bioinformatician at IASMA – FEM**

Currently bioinformatician in the Computational Biology Group at Istituto Agrario di San Michele all'Adige – Fondazione Edmund Mach, Trento, Italy

## **Collaborator uniTN - CiBio**

I ran the Scientific Programming Lab for QCB for the last couple of years and this course last year

# Organization

145540 Scientific Programming (12 ECTS, LM QCB)

145685 Scientific Programming (12 ECTS, LM Data Science)

## Part A - Programming (22/9-29/10)

Introduction to the Python language and to a collection of programming libraries for data analysis.

- Mutuated as 145912 Scientific Programming (LM Math, 6 credits)

## Part B - Algorithms (3/11-15/12)

Design and analysis of algorithmic solutions. Presentation of the most important classes of algorithms and evaluation of their performance.



# Topics

- Introduction
  - Recursion
  - Algorithm analysis
  - Asymptotic notations
- Data structures
  - High level overview
  - Sequences, maps (ordered/unordered), sets
  - Data structure implementations in Python
- Trees
  - Data structure definition
  - Visits
- Graphs
  - Data structure definition
  - Visits
  - Algorithms on graphs
- Algorithmic techniques
  - Divide-et-impera
  - Dynamic programming
  - Greedy
  - Backtrack
  - NP class: brief overview

# Learning outcomes

At the end of the module, students are expected to:

- evaluate algorithmic choices and select the ones that best suit their problems;
- analyze the complexity of existing algorithms and algorithms created on their own;
- design simple algorithmic solutions to solve basic problems.

# Teaching team

- Instructor: Dr. Luca Bianco
  - Theory lectures, algorithmic exercises
  - [luca.bianco \[AT\] fmach.it](mailto:luca.bianco@fmach.it)
- Teaching assistant: Dr. Erik Dassi
  - Lab sessions on algorithms (QCB)
  - [erik.dassi \[AT\] unitn.it](mailto:erik.dassi@unitn.it)
- Teaching assistant: Dr. David Leoni
  - Lab sessions on algorithms (data science)
  - [david.leoni \[AT\] unitn.it](mailto:david.leoni@unitn.it)

Tutors:

Gabriele Masina (QCB)

Andrea Ferigo (Data Science)

# Schedule

<b>Week day</b>	<b>Time</b>	<b>Room</b>	<b>Description</b>
Monday	14.30-16.30	online	Lab
Tuesday	15.30-17.30	online	Lecture
Wednesday	11.30-13.30	online	Lab
Thursday	15.30-17.30	online	Lecture



midterms:

**Part A** on Friday, November 6th 11:30-13:30 online

— for QCB no lab tomorrow... Study time!

**Part B** (tentatively ~ December, 16th... more on this closer to the date)

# Mark registration

## 145540,145685 Scientific Programming (12 credits)

- If you pass **both** midterm exams, you can register the mark
- The mark is computed as the average of the marks of the midterm exams, rounded up (e.g.  $(25+26)/2 = 26$ )
- To register your mark you need to enroll to one of the regular sessions (not the midterm ones).
- If you passed both midterm exams, enroll to a session and do not show up, we assume you want to register your mark



# Mark registration

## continued

- If you passed both midterm exams, enroll to a session and do show up, this means that you are not happy with the mark and want to take the full exam. The result of the full exam will be your new mark, you cannot backtrack to the midterm mark.
- If you did not pass both midterm exams, you need to take the full exam at a regular session.
- After the mark of a regular session have been published, you have a week to refuse it, after which it will be registered (silent assent registration).

# Full exams

## Full exams

January (3h)	TBD
February (3h)	TBD
June (3h)	TBD
July (3h)	TBD
September (3h)	TBD

A typical full exam is composed by (beware weights might change):

1. Theoretical part: 2 questions on theoretical aspects of this second part (~ 6-7 points);
2. Exercise(s) covering part A (~ 12 points);
3. Exercise(s) covering part B (~12 points)



# Course material

## Lectures:

Material and information: <https://sciproalgo2020.readthedocs.io/en/latest/>

Lecture recordings on Moodle:

<https://didatticaonline.unitn.it/dol/enrol/index.php?id=25445>

## Practicals:

QCB: <https://bitbucket.org/erikdassi/sciproprog2020>

Data science: <https://datasciprolab.readthedocs.io/en/latest/>

# Course material

## Scientific Programming: Algorithms

### General Info

The contacts to reach me can be found [at this page](#).

### Timetable and lecture rooms

Lectures will take place on Tuesdays from 15:30 to 17:30 (synchronous online if not otherwise communicated) and on Thursdays from 15:30 to 17:30 (synchronous online if not otherwise communicated). This second part of the Scientific Programming course will tentatively run from 03/11/2020 to 14/12/2020.

### Midterm

The midterm of this part of the course will take place on Wednesday, December 16th, online at 11:30-13.30.

### Moodle

In the moodle page of the course you can find announcements and videos of the lectures. It can be found [here](#).

### Zoom links

The zoom links for the lectures can be found in the Announcements section of the moodle web page.

### Slides

The slides shown during the lectures will gradually appear below:

### Teaching assistants

[David Leoni](#) (for Data Science)

[Erik Dassi](#) (for QCB)

## Lectures Part B (Algorithms and Data Structures)

Details for the Zoom connection:

Time: Tuesdays and Thursdays 15.30 - 17.30

Starting date: Tuesday, November 3rd

Topic: Algorithms and Data Structures

Join Zoom Meeting

<https://unitn.zoom.us/j/██████████>

Meeting ID: ██████████

Passcode: ██████████

 [Course Material](#)



same moodle page as part A



slides will appear down here

<https://sciproalgo2020.readthedocs.io/en/latest/>

# Before starting...

**Please do not be shy...**

Questions **help you/your colleagues** to understand better **and help me** to be clearer in my presentation

Let's try to make this interactive,  
please use the chat!



For when you have 3 spare minutes...

<https://theleadertheteacher.wordpress.com/2016/07/18/be-like-a-child-again-ask-more-questions/>

# Where we stand...

## So far...

we have learnt a bit of Python and we started doing some little examples of data analysis (saw some libraries, etc...)

## From now on..

we will focus on:

“Solving problems” providing solutions (**focusing on correctness**), possibly in an efficient way (**assessing their complexity**), organizing data in the most suitable/efficient ways (**choosing the right data structures**)



# Maximal sum problem

- **Input:** a list  $A$  containing  $n$  numbers
- **Output:** a slice (sublist)  $A[i : j]$  of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice



simpler problem

Find the maximal sum, rather than the interval that provides the maximal sum.

Is the problem clear?

Example:

1	3	4	-8	2	3	-1	3	4	-3	10	-3	2
---	---	---	----	---	---	----	---	---	----	----	----	---

# Maximal sum problem

- **Input:** a list  $A$  containing  $n$  numbers
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Example:

	0			4					10		12	
1	3	4	-8	2	3	-1	3	4	-3	10	-3	2



# Maximal sum problem

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simpler problem

Find the maximal sum, rather than the interval that provides the maximal sum.

Is the problem clear?

Example:

	0			4					10		12	
1	3	4	-8	2	3	-1	3	4	-3	10	-3	2

Maximal sum: 18. **Any ideas on how to solve this problem?**

# Solution 1 $\sim N^3$

## Idea:

### Given the list **A** with **N** elements

Consider **all pairs** (i,j) such that  $i \leq j$

Get the elements in  $A[i:j+1]$

Compute the **sum** of all elements in  $A[i:j+1]$

**Update** `max_so_far` if `sum`  $\geq$  `max_so_far`

```
def max_sum_v1(A):  
    max_so_far = 0  
    N = len(A)  
    for i in range(N):  
        for j in range(i,N):  
            tmp_sum = sum(A[i:j+1])  
            max_so_far = max(tmp_sum, max_so_far)  
  
    return max_so_far
```

```
A = [1,3,4,-8,2, 3,-1,3,4,-3,10,-3,2]  
print(A)  
print(max_sum_v1(A))
```

```
[1, 3, 4, -8, 2, 3, -1, 3, 4, -3, 10, -3, 2]  
18
```

- **Input:** a list  $A$  containing  $n$  numbers
- **Output:** a slice (sublist)  $A[i:j]$  of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

1	3	4	-8	2	3	-1	3	4	-3	10	-3	2
---	---	---	----	---	---	----	---	---	----	----	----	---

# List comprehension... ?

- **Input:** a list  $A$  containing  $n$  numbers
- **Output:** a slice (sublist)  $A[i : j]$  of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

1	3	4	-8	2	3	-1	3	4	-3	10	-3	2
---	---	---	----	---	---	----	---	---	----	----	----	---

```
def max_sum_v1_listc_1(A):  
    N = len(A)  
    sums = [sum(A[i:j+1]) for i in range(N) for j in range(i,N)]  
  
    return max(sums)  
  
A = [1,3,4,-8,2, 3,-1,3,4,-3,10,-3,2]  
print(A)  
print(max_sum_v1_listc_1(A))
```

```
[1, 3, 4, -8, 2, 3, -1, 3, 4, -3, 10, -3, 2]  
18
```

# List comprehension... ?

- **Input:** a list  $A$  containing  $n$  numbers
- **Output:** a slice (sublist)  $A[i : j]$  of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

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---	---	---	----	---	---	----	---	---	----	----	----	---

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    return max(sums)  
  
A = [1,3,4,-8,2, 3,-1,3,4,-3,10,-3,2]  
print(A)  
print(max_sum_v1_listc_1(A))
```



How many  
elements?

```
[1, 3, 4, -8, 2, 3, -1, 3, 4, -3, 10, -3, 2]  
18
```

# List comprehension... ?

No thanks!

```
def max_sum_v1_listc_1(A):  
    N = len(A)  
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    return max(sums)  
  
A = [1,3,4,-8,2, 3,-1,3,4,-3,10,-3,2]  
print(A)  
print(max_sum_v1_listc_1(A))
```

```
[1, 3, 4, -8, 2, 3, -1, 3, 4, -3, 10, -3, 2]  
18
```

- **Input:** a list  $A$  containing  $n$  numbers
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1	3	4	-8	2	3	-1	3	4	-3	10	-3	2
---	---	---	----	---	---	----	---	---	----	----	----	---



How many elements?

$$N*(N+1)/2 \sim N^2$$

[1, 4, 8, 0, 2, 5, 4, 7, 11, 8, 18, 15, 17, 3, 7, -1, 1, 4, 3, 6, 10, 7, 17, 14, 16, 4, -4, -2, 1, 0, 3, 7, 4, 14, 11, 13, -8, -6, -3, -4, -1, 3, 0, 10, 7, 9, 2, 5, 4, 7, 11, 8, 18, 15, 17, 3, 2, 5, 9, 6, 16, 13, 15, -1, 2, 6, 3, 13, 10, 12, 3, 7, 4, 14, 11, 13, 4, 1, 11, 8, 10, -3, 7, 4, 6, 10, 7, 9, -3, -1, 2]  
→ 91 elements! (= 13\*14/7)

If  $A$  has 100,000 elements → ~ 40 GB RAM!!!

# List comprehension... ?

**No thanks!**

- **Input:** a list  $A$  containing  $n$  numbers
- **Output:** a slice (sublist)  $A[i : j]$  of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

```
def max_sum_v1_listc(A):  
    N = len(A)  
    intervals = [A[i:j+1] for i in range(N) for j in range(i,N)]  
    sums = [sum(vals) for vals in intervals]  
    return max(sums)  
  
A = [1,3,4,-8,2, 3,-1,3,4,-3,10,-3,2]  
print(A)  
print(max_sum_v1_listc(A))
```

```
[1, 3, 4, -8, 2, 3, -1, 3, 4, -3, 10, -3, 2]  
18
```



Stores intervals and sums!!!

If  $A$  has 100,000 elements  $\rightarrow \sim 1.3$  PB RAM!!!

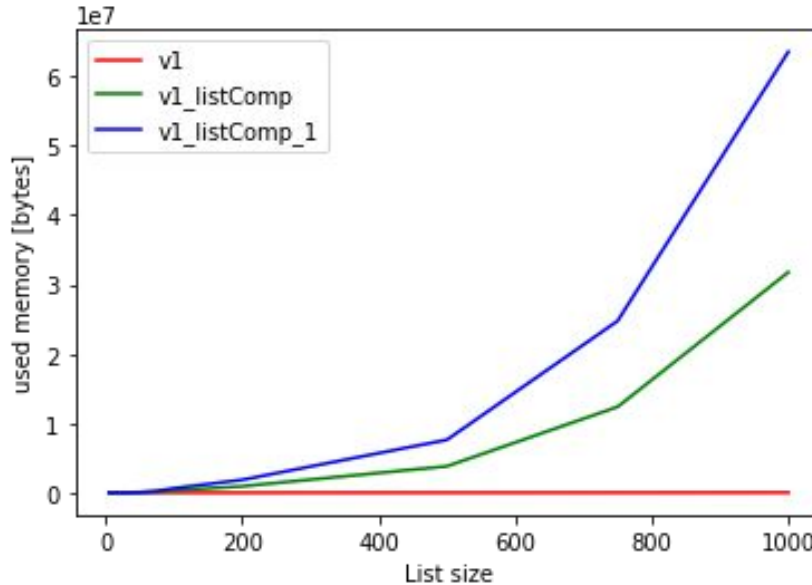
# List comprehension... ?

- **Input:** a list  $A$  containing  $n$  numbers
- **Output:** a slice (sublist)  $A[i : j]$  of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

v1: two variables

v1\_listComp: 1 list with  
 $\sim N^2$  numbers

v1\_listComp\_1: 2 lists  
- 1 with  $\sim N^2$   
numbers  
- 1 with  $\sim N^2$   
sublists of  
numbers



[size computed with `sys.getsizeof(DATA)`]

**Important note:**



Time and space  
(memory) are two  
important resources!

<https://docs.python.org/3/library/sys.html?highlight=sizeof#sys.getsizeof>

# Solution 1 $\sim N^3$

## Idea:

### Given the list **A** with **N** elements

Consider all pairs (i,j) such that  $i \leq j$

Get the elements in  $A[i:j+1]$

Compute the sum of all elements in  $A[i:j+1]$

Update `max_so_far` if  $\text{sum} \geq \text{max\_so\_far}$

```
def max_sum_v1(A):
    max_so_far = 0
    N = len(A)
    for i in range(N):
        for j in range(i, N):
            tmp_sum = sum(A[i:j+1])
            max_so_far = max(tmp_sum, max_so_far)
    return max_so_far
```

- **Input:** a list  $A$  containing  $n$  numbers
- **Output:** a slice (sublist)  $A[i : j]$  of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

1	3	4	-8	2	3	-1	3	4	-3	10	-3	2
---	---	---	----	---	---	----	---	---	----	----	----	---

Why  $N^3$  ?

Intuitively,

We have  $N*(N+1)/2$  intervals and the sum of  $N$  numbers takes  $N$  operations.

So:  $N * [N*(N+1)/2] \sim N^3$

**Can we do any better than this?**



# Solution 2 $\sim N^2$

**Observation:** There is no point in computing the same sums over and over again!

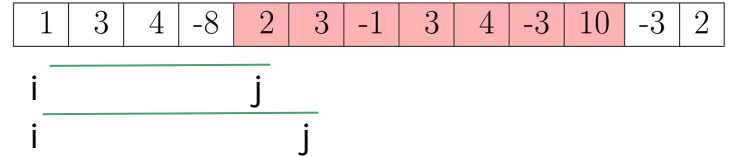
If  $S = \text{sum}(A[i:j]) \rightarrow \text{sum}(A[i:j+1]) = S + A[j+1]$

```
def max_sum_v2(A):
    N = len(A)
    max_so_far = 0
    for i in range(N):
        tot = 0 #ACCUMULATOR!
        for j in range(i,N):
            tot = tot + A[j]
            max_so_far = max(max_so_far, tot)
    return max_so_far
```

```
A = [1,3,4,-8,2,3,-1,3,4,-3,10,-3,2]
print(A)
print(max_sum_v2(A))
```

```
[1, 3, 4, -8, 2, 3, -1, 3, 4, -3, 10, -3, 2]
18
```

- **Input:** a list  $A$  containing  $n$  numbers
- **Output:** a slice (sublist)  $A[i:j]$  of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice



# Solution 2 $\sim N^2$

**Observation: There is no point in computing the same sums over and over again!**

If  $S = \text{sum}(A[i:j]) \rightarrow \text{sum}(A[i:j+1]) = S + A[j+1]$

```
def max_sum_v2(A):
    N = len(A)
    max_so_far = 0
    for i in range(N):
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        for j in range(i,N):
            tot = tot + A[j]
            max_so_far = max(max_so_far, tot)
    return max_so_far
```

```
A = [1,3,4,-8,2,3,-1,3,4,-3,10,-3,2]
print(A)
print(max_sum_v2(A))
```

```
[1, 3, 4, -8, 2, 3, -1, 3, 4, -3, 10, -3, 2]
18
```

- **Input:** a list  $A$  containing  $n$  numbers
- **Output:** a slice (sublist)  $A[i:j]$  of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

1	3	4	-8	2	3	-1	3	4	-3	10	-3	2
---	---	---	----	---	---	----	---	---	----	----	----	---

Tot (i, j)

0, 1, 4, 8, 0, 2, 5, 4, 7, 11, 8, 18, 15, 17, ← (0, x)

0, 3, 7, -1, 1, 4, 3, 6, 10, 7, 17, 14, 16, ← (1, x)

0, 4, -4, -2, 1, 0, 3, 7, 4, 14, 11, 13, ← (2, x)

0, -8, -6, -3, -4, -1, 3, 0, 10, 7, 9,

0, 2, 5, 4, 7, 11, 8, 18, 15, 17,

0, 3, 2, 5, 9, 6, 16, 13, 15,

0, -1, 2, 6, 3, 13, 10, 12,

0, 3, 7, 4, 14, 11, 13,

0, 4, 1, 11, 8, 10,

0, -3, 7, 4, 6,

0, 10, 7, 9,

0, -3, -1,

0, 2 ← (N-1, x)

Maxes (max\_so\_far)

[0, 1, 4, 8, 8, 8, 8, 8, 8, 11, 11, 18, 18, 18, ..., 18]

# Solution 2 $\sim N^2$

**Observation: There is no point in computing the same sums over and over again!**

If  $S = \text{sum}(A[i:j]) \rightarrow \text{sum}(A[i:j+1]) = S + A[j+1]$

```
def max_sum_v2(A):
    N = len(A)
    max_so_far = 0
    for i in range(N):
        tot = 0 #ACCUMULATOR!
        for j in range(i,N):
            tot = tot + A[j]
            max_so_far = max(max_so_far, tot)
    return max_so_far
```

```
A = [1,3,4,-8,2,3,-1,3,4,-3,10,-3,2]
print(A)
print(max_sum_v2(A))
```

```
[1, 3, 4, -8, 2, 3, -1, 3, 4, -3, 10, -3, 2]
18
```

- **Input:** a list  $A$  containing  $n$  numbers
- **Output:** a slice (sublist)  $A[i:j]$  of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

Why  $N^2$  ?

Intuitively, we have to consider  $N*(N+1)/2 \sim N^2$  intervals (for each interval we compute **ONE sum** and **the maximum of TWO values**: constant time!)

The space required is just a couple of variables: **constant!**

# Solution 2 $\sim N^2$

Tip: use `itertools` (similar to `np.cumsum()`)

Accumulate of `itertools` is done in C so it is faster

- **Input:** a list  $A$  containing  $n$  numbers
- **Output:** a slice (sublist)  $A[i : j]$  of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

```
from itertools import accumulate

A = [1, 2, 3, -3, 12, -4, 1, -1, -2, 1]
print(A)
print(list(accumulate(A)))

[1, 2, 3, -3, 12, -4, 1, -1, -2, 1]
[1, 3, 6, 3, 15, 11, 12, 11, 9, 10]
```

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`itertools` — Functions creating iterators for efficient looping

- `itertools` functions
- `itertools` Recipes

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Functional Programming Modules

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`functools` — Higher-order functions and operations on callable objects

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## `itertools` — Functions creating iterators for efficient looping

This module implements a number of `iterator` building blocks inspired by constructs from APL, Haskell, and SML. Each has been recast in a form suitable for Python.

The module standardizes a core set of fast, memory efficient tools that are useful by themselves or in combination. Together, they form an “iterator algebra” making it possible to construct specialized tools succinctly and efficiently in pure Python.

For instance, SML provides a tabulation tool: `tabulate(f)` which produces a sequence `f(0), f(1), ...`. The same effect can be achieved in Python by combining `map()` and `count()` to form `map(f, count())`.

These tools and their built-in counterparts also work well with the high-speed functions in the `operator` module. For example, the multiplication operator can be mapped across two vectors to form an efficient dot-product `sum(map(operator.mul, vector1, vector2))`.

### Infinite iterators:

Iterator	Arguments	Results	Example
<code>count()</code>	<code>start, [step]</code>	<code>start, start+step, start+2*step, ...</code>	<code>count(10) --&gt; 10 11 12 13 14 ...</code>
<code>cycle()</code>	<code>p</code>	<code>p0, p1, ... plast, p0, p1, ...</code>	<code>cycle('ABCD') --&gt; A B C D A B C D ...</code>
<code>repeat()</code>	<code>elem, [n]</code>	<code>elem, elem, elem, ... endlessly or up to n times</code>	<code>repeat(10, 3) --&gt; 10 10 10</code>

### Iterators terminating on the shortest input sequence:

Iterator	Arguments	Results	Example
<code>accumulate()</code>	<code>p, [func]</code>	<code>p0, p0+p1, p0+p1+p2, ...</code>	<code>accumulate([1,2,3,4,5]) --&gt; 1 3 6 10 15</code>

# Solution 2 $\sim N^2$

**Tip: use itertools (similar to np.cumsum())**

Accumulate of itertools is done in C so it is faster

```
from itertools import accumulate

def max_sum_v2_bis(A):
    N = len(A)
    max_so_far = 0
    for i in range(N):
        tot = max(accumulate(A[i:]))
        max_so_far = max(max_so_far, tot)
    return max_so_far

A = [1,3,4,-8,2, 3,-1,3,4,-3,10,-3,2]
print(A)
print(max_sum_v2_bis(A))

[1, 3, 4, -8, 2, 3, -1, 3, 4, -3, 10, -3, 2]
18
```

- **Input:** a list  $A$  containing  $n$  numbers
- **Output:** a slice (sublist)  $A[i:j]$  of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

```
from itertools import accumulate

A = [1,2,3,-3,12,-4,1,-1,-2,1]
print(A)
print(list(accumulate(A)))

[1, 2, 3, -3, 12, -4, 1, -1, -2, 1]
[1, 3, 6, 3, 15, 11, 12, 11, 9, 10]
```



Similar as before but max computed on the accumulated sum (accumulate “hides” a for loop)

**N intervals, sum of N elements each time:  $\sim N^2$  operations**



**IMPORTANT NOTE:**

**The improvement comes from implementation not algorithm! (code faster by a constant factor)**

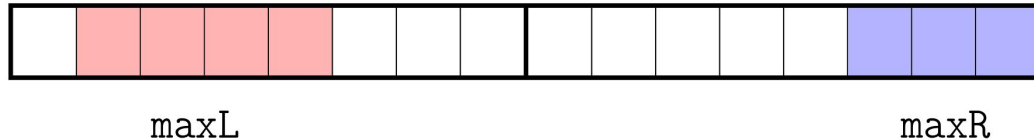
**Can we do any better than this?**

# Solution 3 $\sim N \log(N)$

## Divide et impera (Divide and conquer)

### Idea:

- Split it in two equally sized sublists
- Find maxL as the sum of the maximal sublist on the left part
- Find maxR as the sum of the maximal sublist on the right part
- Get the solution as  $\max(\maxL, \maxR)$



**Is this correct? Do you see any problem with this?**

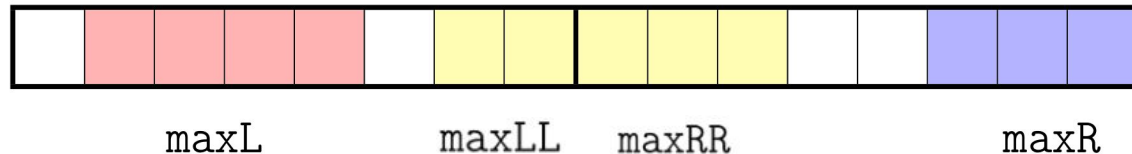
- **Input:** a list  $A$  containing  $n$  numbers
- **Output:** a slice (sublist)  $A[i : j]$  of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

# Solution 3 $\sim N \log(N)$

## Divide et impera (Divide and conquer)

### Idea:

- Split it in two equally sized sublists
- Find **maxL** as the sum of the maximal sublist on the left part
- Find **maxR** as the sum of the maximal sublist on the right part
- **maxLL+maxRR** is the value of the maximal sublist accross the two parts



- **Input:** a list  $A$  containing  $n$  numbers
- **Output:** a slice (sublist)  $A[i : j]$  of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

# Solution 3 $\sim N \log(N)$

## Divide et impera (Divide and conquer)

### Idea:

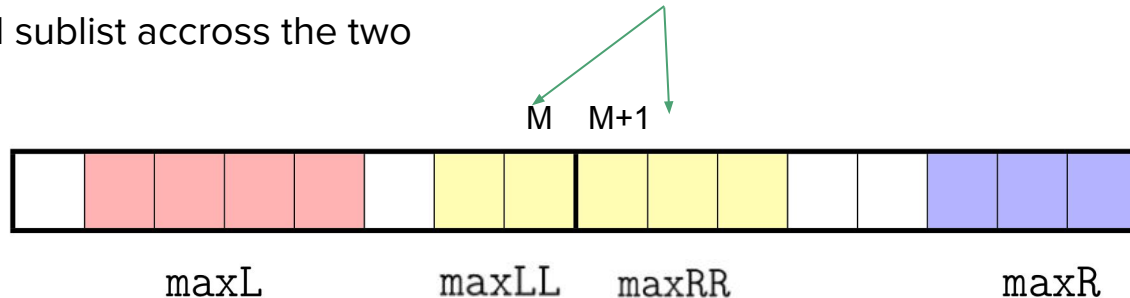
- Split it in two equally sized sublists
- Find **maxL** as the sum of the maximal sublist on the left part
- Find **maxR** as the sum of the maximal sublist on the right part
- **maxLL+maxRR** is the value of the maximal sublist accross the two parts

- **Input:** a list  $A$  containing  $n$  numbers
- **Output:** a slice (sublist)  $A[i : j]$  of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

Get the point before the mid-point  $M$  and go to the left until the sum increases.

Repeat starting from  $M+1$  and going to the right.

**Result is:  $\max(\maxL, \maxLL+\maxRR, \maxR)$**





# Solution 3 $\sim N \log(N)$

## Divide et impera (Divide and conquer)

```
def max_sum_v3_rec(A, i, j):
    if i == j:
        return max(0, A[i])
    m = (i+j)//2
    maxML = 0
    s = 0
    for k in range(m, i-1, -1):
        s = s + A[k]
        maxML = max(maxML, s)

    maxMR = 0
    s = 0
    for k in range(m+1, j+1):
        s = s + A[k]
        maxMR = max(maxMR, s)
    maxL = max_sum_v3_rec(A, i, m) #Left maximal subvector
    maxR = max_sum_v3_rec(A, m+1, j) #Right maximal subvector

    return max(maxL, maxR, maxML + maxMR)

def max_sum_v3(A):
    return max_sum_v3_rec(A, 0, len(A) - 1)
```

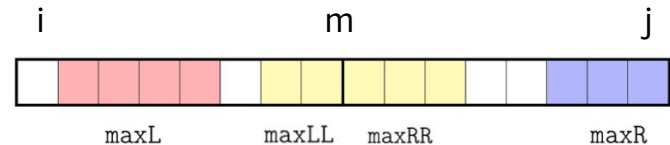
```
A = [1, 3, 4, -8, 2, 3, -1, 3, 4, -3, 10, -3, 2]
print(A)
print(max_sum_v3(A))
```

```
[1, 3, 4, -8, 2, 3, -1, 3, 4, -3, 10, -3, 2]
18
```

- **Input:** a list  $A$  containing  $n$  numbers
- **Output:** a slice (sublist)  $A[i : j]$  of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

**Recursive code:** calls itself on a smaller sublist.

Runs in  **$N \cdot \log(N)$**  ... more on this later



# Solution 3 $\sim N \log(N)$

## Divide et impera (Divide and conquer)

Tip: use itertools

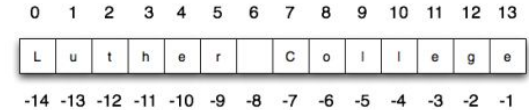
```
def max_sum_v3_rec_bis(A,i,j):
    if i == j:
        return max(0,A[i])
    m = (i+j)//2
    maxL = max_sum_v3_rec_bis(A,i,m)
    maxR = max_sum_v3_rec_bis(A, m+1, j)
    maxML = max(accumulate(A[m:-len(A) + i - 1: -1]))
    maxMR = max(accumulate(A[m+1:j+1]))
    return max(maxL, maxR, maxML+ maxMR)

def max_sum_v3(A):
    return max_sum_v3_rec_bis(A,0,len(A) - 1)
```

```
A = [1,3,4,-8,2, 3,-1,3,4,-3,10,-3,2]
print(A)
print(max_sum_v3(A))
```

```
[1, 3, 4, -8, 2, 3, -1, 3, 4, -3, 10, -3, 2]
18
```

- **Input:** a list  $A$  containing  $n$  numbers
- **Output:** a slice (sublist)  $A[i : j]$  of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice



```
A = list(range(10))
print(A)

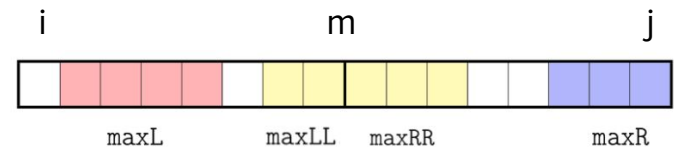
#interval 4-2 going to the left...
M = 4
i = 2
print(-len(A) + i - 1)
A[M: -len(A) + i - 1 : -1]
```

[0, 1, 2, 3, 4, 5, 6, 7, 8, 9]  
-9

[4, 3, 2]

**Recursive code:** can use itertools as before to accumulate the sum.

Runs in  **$N \log(N)$**  ...just a little bit faster, more on this later



# Solution 4 $\sim$ N

## Dynamic Programming

Let's define **maxHere[i]** as the maximum value of each sublist that ends in i.

The **maximum value in maxHere** is the **maximal sum.**

$$\text{maxHere}[i] = \begin{cases} 0 & i < 0 \\ \max(\text{maxHere}[i-1] + A[i], 0) & i \geq 0 \end{cases}$$

condition  $i < 0$   
needs to fix the first element of  
the list (i.e. when  $i=0$ )

- **Input:** a list  $A$  containing  $n$  numbers
- **Output:** a slice (sublist)  $A[i : j]$  of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

# Solution 4 $\sim$ N

## Dynamic Programming

Let's define  $\text{maxHere}[i]$  as the maximum value of each sublist that ends in  $i$ .

The maximum value in  $\text{maxHere}$  is the maximal sum.

$$\text{maxHere}[i] = \begin{cases} 0 & i < 0 \\ \max(\text{maxHere}[i-1] + A[i], 0) & i \geq 0 \end{cases}$$

- **Input:** a list  $A$  containing  $n$  numbers
- **Output:** a slice (sublist)  $A[i:j]$  of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

```
def max_sum_v4(A):  
    max_so_far = 0 #Max found so far  
    max_here = 0 #Max slice ending at cur pos  
    for i in range(len(A)):  
        max_here = max(A[i] + max_here, 0)  
        max_so_far = max(max_so_far, max_here)  
    return max_so_far
```

```
A = [1,3,4,-8,2,3,-1,3,4,-3,10,-3,2]  
print("{}".format(A))  
print(max_sum_v4(A))
```

```
[1, 3, 4, -8, 2, 3, -1, 3, 4, -3, 10, -3, 2]  
18
```

# Solution 4 $\sim N$

## Dynamic Programming

```
def max_sum_v4(A):  
    max_so_far = 0 #Max found so far  
    max_here = 0 #Max slice ending at cur pos  
    for i in range(len(A)):  
        max_here = max(A[i] + max_here, 0)  
        max_so_far = max(max_so_far, max_here)  
    return max_so_far
```

```
A = [1,3,4,-8,2, 3,-1,3,4,-3,10,-3,2]  
print("{}".format(A))  
print(max_sum_v4(A))
```

```
[1, 3, 4, -8, 2, 3, -1, 3, 4, -3, 10, -3, 2]  
18
```

- **Input:** a list  $A$  containing  $n$  numbers
- **Output:** a slice (sublist)  $A[i : j]$  of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

A: 

	1	3	4	-8	2	3	-1	3	4	-3	10	-3	2
--	---	---	---	----	---	---	----	---	---	----	----	----	---

max\_here: 

0	1	4	8	0	2	5	4	7	11	8	18	15	17
---	---	---	---	---	---	---	---	---	----	---	----	----	----

max\_so\_far: 

0	1	4	8	8	8	8	8	8	11	11	18	18	18
---	---	---	---	---	---	---	---	---	----	----	----	----	----

Goes through  $A$  once and computes ONE sum and two max of TWO values:  
runs in  $\sim N$

# Solution 4 $\sim$ N

## Dynamic Programming

Stores also the indexes

```
def max_sum_v4_bis(A):
    max_so_far = 0 #Max found so far
    max_here = 0 #Max slice ending at cur pos
    start = 0 #start of cur maximal slice
    end = 0 #end of cur maximal slice
    last = 0 #beginning of max slice ending here
    for i in range(len(A)):
        max_here = A[i] + max_here
        if max_here <= 0:
            max_here = 0
            last = i + 1
        if max_here > max_so_far:
            max_so_far = max_here
            start = last
            end = i
    return (start, end, max_so_far)
```

```
A = [1,3,4,-8,2, 3,-1,3,4,-3,10,-3,2]
print("A: {}".format(A))
print(max_sum_v4_bis(A))
```

```
A: [1, 3, 4, -8, 2, 3, -1, 3, 4, -3, 10, -3, 2]
(4, 10, 18)
```

- **Input:** a list  $A$  containing  $n$  numbers
- **Output:** a slice (sublist)  $A[i : j]$  of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

A:

	1	3	4	-8	2	3	-1	3	4	-3	10	-3	2
--	---	---	---	----	---	---	----	---	---	----	----	----	---

max\_here:

0	1	4	8	0	2	5	4	7	11	8	18	15	17
---	---	---	---	---	---	---	---	---	----	---	----	----	----

max\_so\_far:

0	1	4	8	8	8	8	8	8	11	11	18	18	18
---	---	---	---	---	---	---	---	---	----	----	----	----	----

start:

0	0	0	0	0	0	0	0	0	4	4	4	4	4
---	---	---	---	---	---	---	---	---	---	---	---	---	---

last:

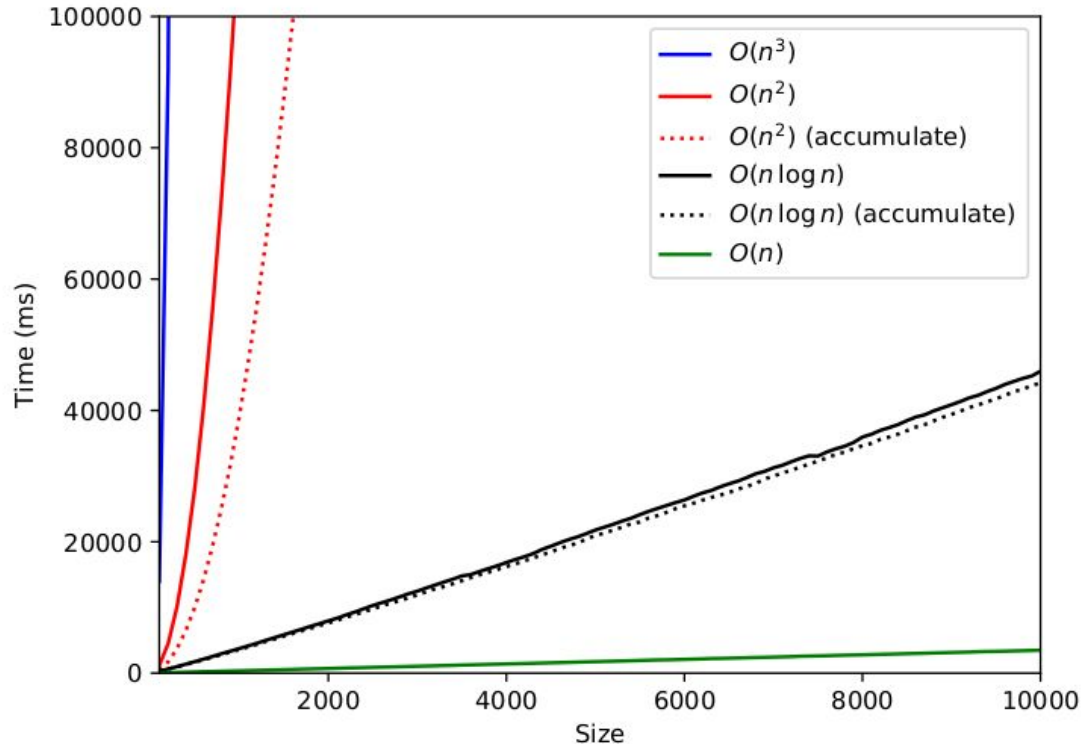
0	0	0	0	4	4	4	4	4	4	4	4	4	4
---	---	---	---	---	---	---	---	---	---	---	---	---	---

end:

0	0	1	2	2	2	2	2	2	8	8	10	10	10
---	---	---	---	---	---	---	---	---	---	---	----	----	----

# Running times...

- **Input:** a list  $A$  containing  $n$  numbers
- **Output:** a slice (sublist)  $A[i : j]$  of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice



# Some definitions...

## Computational problem

The formal relationship between the input and the desired output

## Algorithm

- The description of the sequence of actions that an executor must execute to solve the problem
- Among their tasks, algorithms represent and organize the input, the output, and all the intermediate data required for the computation



# Some history...

- Ahmes' Papyrus (1850 BC, peasant algorithm for multiplication)
- Numerical algorithms have been studied by Babylonians and Indian mathematicians
- Algorithms used even today have been studied by Greek mathematicians more than 2000 years ago
  - Euclid's Algorithm for the greatest common divisor
  - Geometrical algorithms (angle bisection and trisection, tangent drawing, etc)



# Algorithms: the name...

## Abu Abdullah Muhammad bin Musa **al-Khwarizmi**

- He was a Persian mathematician, astronomer, astrologer, geographer
- He introduced the indian numbers in the western world
- From his name: **algorithm**



## Al-Kitab al-muhtasar fi hisab **al-gabr** wa-l-muqabala

- His most famous work (820 AC)
- Translated in Latin with the title: *Liber algebrae et almucabala*



# Computational problems: examples

## Minimum

The minimum of a set  $S$  is the element of  $S$  which is smaller or equal than any other element of  $S$ .

$$\min(S) = a \Leftrightarrow \exists a \in S : \forall b \in S : a \leq b$$

## Lookup

Let  $S = s_0, s_1, \dots, s_{n-1}$  be a sequence of distinct, sorted numbers, i.e.  $s_0 < s_1 < \dots < s_{n-1}$ . To perform a lookup of the position of value  $v$  in  $S$  corresponds to returning the index  $i$  such that  $0 \leq i < n$ , if  $v$  is contained at position  $i$ ,  $-1$  otherwise.

$$\text{lookup}(S, v) = \begin{cases} i & \exists i \in \{0, \dots, n-1\} : S_i = v \\ -1 & \text{otherwise} \end{cases}$$

# Computational problems: examples

## Minimum

The minimum of a set  $S$  is the element of  $S$  which is smaller or equal than any other element of  $S$ .

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## Lookup

Let  $S = s_0, s_1, \dots, s_{n-1}$  be a sequence of distinct, sorted numbers, i.e.  $s_0 < s_1 < \dots < s_{n-1}$ . To perform a lookup of the position of value  $v$  in  $S$  corresponds to returning the index  $i$  such that  $0 \leq i < n$ , if  $v$  is contained at position  $i$ ,  $-1$  otherwise.

$$\text{lookup}(S, v) = \begin{cases} i & \exists i \in \{0, \dots, n-1\} : S_i = v \\ -1 & \text{otherwise} \end{cases}$$

**Note:** we described a relationship between input and output. Nothing is said on how to compute the result (that's the difference between math and computer science :-)



# Naive solutions

## Minimum

To find the minimum of a set, compare each element with every other element; the element that is smaller than any other is the minimum.

## Lookup

To find a value  $v$  in the sequence  $S$ , compare  $v$  with any other element of  $S$ , in order, and return the corresponding index if a correspondence is found; returns  $-1$  if none of the elements is equal to  $v$ .

Computational  
Problem



First, let's **translate** the computational problem into an algorithm to solve it.

Then, make it **more efficient**, if possible!

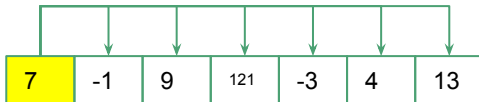
# Naive solutions: the code

```
def my_min(S):
    for x in S:
        isMin = True
        for y in S:
            if x > y:
                isMin = False
        if isMin:
            return x

A = [7, -1, 9, 121, -3, 4, 13]

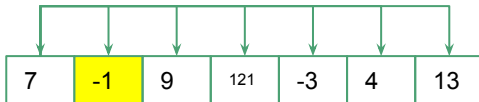
print(A)
print("min: {}".format(my_min(A)))
```

[7, -1, 9, 121, -3, 4, 13]  
min: -3



# Naive solutions: the code

```
def my_min(S):  
    for x in S:  
        isMin = True  
        for y in S:  
            if x > y:  
                isMin = False  
        if isMin:  
            return x  
  
A = [7, -1, 9, 121, -3, 4, 13]  
  
print(A)  
print("min: {}".format(my_min(A)))  
  
[7, -1, 9, 121, -3, 4, 13]  
min: -3
```



This code also compares an element with itself...

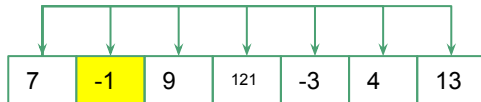
# Naive solutions: the code

```
def my_min(S):
    for x in S:
        isMin = True
        for y in S:
            if x > y:
                isMin = False
        if isMin:
            return x

A = [7, -1, 9, 121, -3, 4, 13]

print(A)
print("min: {}".format(my_min(A)))
```

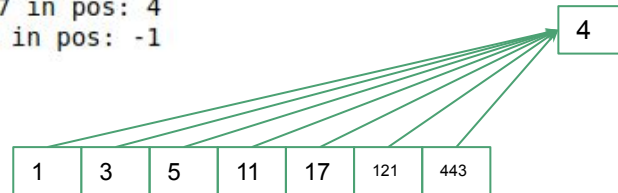
```
[7, -1, 9, 121, -3, 4, 13]
min: -3
```



```
def lookup(L, v):
    for i in range(len(L)):
        if L[i] == v:
            return i
    return -1

my_list = [1, 3, 5, 11, 17, 121, 443]
print(my_list)
print("{} in pos: {}".format(17,
                             lookup(my_list, 17)))
print("{} in pos: {}".format(4,
                             lookup(my_list, 4)))
```

```
[1, 3, 5, 11, 17, 121, 443]
17 in pos: 4
4 in pos: -1
```



These are direct translations of the computational problems. Can we do better?



# Algorithm evaluation

## Does it solve the problem in a **correct** way?

- Mathematical proof vs informal description
- Some problems can only be solved in an approximate way
- Some problems cannot be solved at all

## Does it solve the problem in an **efficient** way?

- How to measure efficiency
- Some solutions are **optimal**: you cannot find better solutions
- For some problems, there are no efficient solutions

**Note on efficiency:** algorithm efficiency has a bigger impact on performance than technical details (e.g. using Python vs. C, itertools vs sum etc...)



# Efficiency: time and space

## Algorithm complexity

Analysis of the resources employed by an algorithm to solve a problem, depending on the **size** and the **type** of input



## Resources

- **Time**: time needed to execute the algorithm
  - Should we measure it with a cronometer?
  - Should I measure it by counting the number of elementary operations?
- **Space**: amount of used memory
- **Bandwidth**: amount of bit transmitted (distributed algorithms)



we did this to have an "informal" idea of the performance but this is a bad idea because the time depends on very many factors!

**A more abstract representation is needed!**

Normally, we focus on **time** because there is a relationship between TIME and SPACE. Intuitively, Using  $N^2$  space will require at least  $N^2$  time to read the input... **Normally, TIME > SPACE**



# Algorithm evaluation: minimum

How many comparisons do we perform?

Compare each element with ALL the elements

```
def my_min(S):
    for x in S:
        isMin = True
        for y in S:
            if x > y:
                isMin = False
        if isMin:
            return x

A = [7, -1, 9, 121, -3, 4, 13]

print(A)
print("min: {}".format(my_min(A)))

[7, -1, 9, 121, -3, 4, 13]
min: -3
```



This is the most expensive operation (might work on ints, strings, files,...)

```
If len(S) = n:
    for x in S:
        for y in S:
            x > y
            ...
→ n*n comparisons
```

**Naive algorithm “has complexity”:**  $n^2$

In more details:

**Best case:**  $n$  comparisons ( $S[0]$  min)

**Worst case:**  $n*n$  comparisons ( $S[-1]$  is min)

Any ideas?

Can we do better?

# Algorithm evaluation: minimum

How many comparisons do we perform?  
Compare every element with the OTHER elements

```
def my_min_v2(S):  
    for i in range(len(S)):  
        isMin = True  
        for j in range(len(S)):  
            if i != j:  
                if S[i] > S[j]:  
                    isMin = False  
        if isMin:  
            return S[i]  
  
A = [7, -1, 9, 121, -3, 4, 13]  
  
print(A)  
print("min: {}".format(my_min_v2(A)))  
  
[7, -1, 9, 121, -3, 4, 13]  
min: -3
```

How about this?  
Easy, we compare  
integers!

This is the most  
expensive operation  
(might work on ints,  
strings, files,...)

```
If len(S) = n:  
    for x in 1,...,n:  
        for y in 1,...,n:  
            if x!=j:  
                x>y  
    ...
```

→  $n*(n-1) = n^2 - n$  comparisons

**Naive algorithm “has complexity”:  $n^2$**   
**This algorithm:  $n^2 - n$**

In more details:

**Best case:  $n-1$  comparisons** ( $S[0]$  min)

**Worst case:  $n*(n-1)$  comparisons** ( $S[-1]$  is min)

Can we do better?

# Algorithm evaluation: minimum, a better solution

How many comparisons do we perform?

Accumulate the minimum found so far and compare the others with it

```
def my_faster_min(S):  
    min_so_far = S[0] #first element  
    i = 1  
    while i < len(S):  
        if S[i] < min_so_far:  
            min_so_far = S[i]  
        i = i + 1  
    return min_so_far
```

```
A = [7, -1, 9, 121, -3, 4, 13]
```

```
print(A)  
print("min: {}".format(my_min(A)))
```

```
[7, -1, 9, 121, -3, 4, 13]  
min: -3
```

This is the most expensive operation (might work on ints, strings, files,...)

If  $\text{len}(S) = n$ :

while  $i = 1, \dots, n-1$

$S[i] < \text{min\_so\_far}$

→  $n-1$  comparisons

**Naive algorithm “has complexity”:**  $n^2 - n$

**Better algorithm “has complexity”:**  $n-1$   
(regardless if  $S[0]$  is the min or if  $S[-1]$  is)

# Algorithm evaluation: lookup

How many comparisons do we perform?

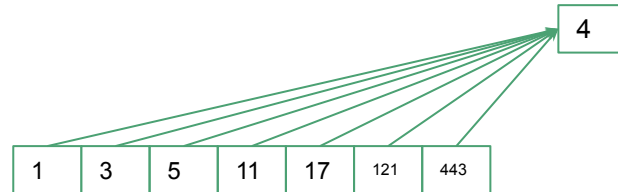
```
def lookup(L, v):  
    for i in range(len(L)):  
        if L[i] == v:  
            return i  
    return -1  
  
my_list = [1, 3, 5, 11, 17, 121, 443]  
print(my_list)  
print("{} in pos: {}".format(17,  
                             lookup(my_list, 17)))  
print("{} in pos: {}".format(4,  
                             lookup(my_list, 4)))
```

```
[1, 3, 5, 11, 17, 121, 443]  
17 in pos: 4  
4 in pos: -1
```

I compare  $v$  with first element, then to the second etc. when I find it or when I checked the whole list I stop.

→  $n$  comparisons

**Naive algorithm “has complexity”:**  $n$   
(in the worst case:  $v$  is not there!)



# Algorithm evaluation: lookup, better solution (?)

How many comparisons do we perform?

```
def lookup(L, v):  
    for i in range(len(L)):  
        if L[i] == v:  
            return i  
        elif L[i] > v:  
            return -1  
    return -1
```

```
my_list = [1, 3, 5, 11, 17, 121, 443]  
print(my_list)  
print("{} in pos: {}".format(17,  
                             lookup(my_list, 17)))  
print("{} in pos: {}".format(4,  
                             lookup(my_list, 4)))  
print("{} in pos: {}".format(500,  
                             lookup(my_list, 4)))
```

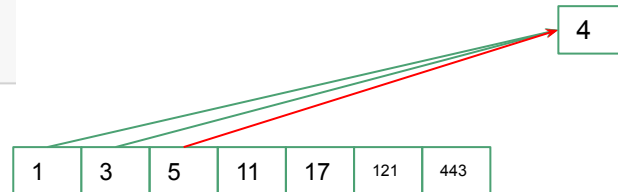
```
[1, 3, 5, 11, 17, 121, 443]  
17 in pos: 4  
4 in pos: -1  
500 in pos: -1
```

The list is sorted. I loop through the list, if I find value  $> v$  I can stop.

Generally faster, if L is big (i.e. n is big), but worst case (es. 500 below)

→  $2*n$  comparisons

**Naive algorithm “has complexity”:**  $n$   
**“Better” algorithm “has complexity”:**  $2*n$



# Algorithm evaluation: best, worst and average case

What is the most important case?

**Best:** lookup(L,1) solved in 1 step



Not interested.  
We are never  
lucky!!!

**Worst:** lookup(L,10) solved in 9 steps



Normally, **the  
most informative  
case**

**Average:** lookup(L,6) solved in 4 steps



Sometimes  
interesting





# Lookup: more efficient algorithm

The list is sorted...

lookup(L,v)

ex. lookup(L,28)



# Lookup: a more efficient algorithm

The list is sorted...

lookup(L,v)

ex. lookup(L,28)



Let's start considering the **median value**,  $m$ .

If  $L[m] = v$ . Found it!

if  $L[m] > v$ . Search  $L[0:m]$

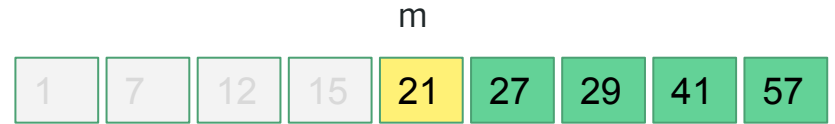
if  $L[m] < v$ . Search  $L[m+1:]$

# Lookup: a more efficient algorithm

The list is sorted...

lookup(L,v)

ex. lookup(L,28)



Let's start considering the **median value**,  $m$ .

If  $L[m] = v$ . Found it!

if  $L[m] > v$ . Search  $L[0:m]$

$21 < \mathbf{28} \rightarrow$  ignore  $L[0:m]$

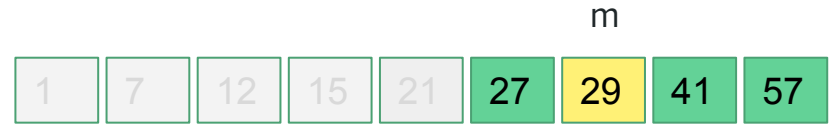
if  $L[m] < v$ . Search  $L[m+1:]$

# Lookup: a more efficient algorithm

The list is sorted...

lookup(L,v)

ex. lookup(L,28)



Let's start considering the **median value**,  $m$ .

If  $L[m] = v$ . Found it!

if  $L[m] > v$ . Search  $L[0:m]$

**28 < 29** → ignore  $L[m+1:]$

if  $L[m] < v$ . Search  $L[m+1:]$

# Lookup: a more efficient algorithm

The list is sorted...

lookup(L,v)

ex. lookup(L,28)



Let's start considering the **median value**,  $m$ .

If  $L[m] = v$ . Found it!

if  $L[m] > v$ . Search  $L[0:m]$

if  $L[m] < v$ . Search  $L[m+1:]$

**28** < 29 → ignore  $L[m+1:]$

# Lookup: a more efficient algorithm

The list is sorted...

lookup(L,v)

ex. lookup(L,28)



Let's start considering the **median value**,  $m$ .

If  $L[m] = v$ . Found it!

if  $L[m] > v$ . Search  $L[0:m]$

if  $L[m] < v$ . Search  $L[m+1:]$

27  $\neq$  **28**  $\rightarrow$  NOT FOUND

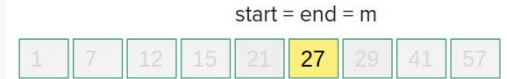
# Lookup: the recursive code (binary search)

```
def lookup_rec(L, v, start, end):  
    if end < start: ← when only one element left  
        return -1      start = end = m  
    else:              at next iteration end < start  
        m = (start + end)//2  
        if L[m] == v: #found!  
            return m  
        elif v < L[m]: #look to the left  
            return lookup_rec(L, v, start, m-1) ←  
        else: #look to the right  
            return lookup_rec(L, v, m+1, end) ←  
            ←  
            ←
```

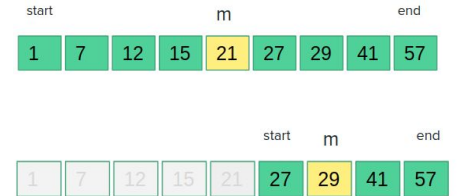
  

```
my_list = [1, 3, 5, 11, 17, 121, 443]  
print(my_list)  
print("{} in pos: {}".format(17,  
                             lookup_rec(my_list, 17, 0, len(my_list)-1)))  
print("{} in pos: {}".format(4,  
                             lookup_rec(my_list, 4, 0, len(my_list)-1)))  
print("{} in pos: {}".format(443,  
                             lookup_rec(my_list, 443, 0, len(my_list)-1)))
```

```
[1, 3, 5, 11, 17, 121, 443]  
17 in pos: 4  
4 in pos: -1  
443 in pos: 6
```



v = 28



# Lookup: the recursive code (binary search)

```
def lookup_rec(L, v, start, end):
    if end < start:
        return -1
    else:
        m = (start + end)//2
        if L[m] == v: #found!
            return m
        elif v < L[m]: #look to the left
            return lookup_rec(L, v, start, m-1)
        else: #look to the right
            return lookup_rec(L, v, m+1, end)

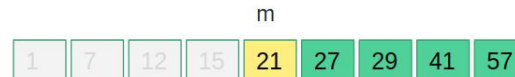
my_list = [1, 3, 5, 11, 17, 121, 443]
print(my_list)
print("{} in pos: {}".format(17,
                             lookup_rec(my_list, 17, 0, len(my_list)-1)))
print("{} in pos: {}".format(4,
                             lookup_rec(my_list, 4, 0, len(my_list)-1)))
print("{} in pos: {}".format(443,
                             lookup_rec(my_list, 443, 0, len(my_list)-1)))
```

```
[1, 3, 5, 11, 17, 121, 443]
17 in pos: 4
4 in pos: -1
443 in pos: 6
```

2 comparisons (==, <) at each call

How many total comparisons?

Anyone wants to try?





# Lookup: the recursive code (binary search)

```
def lookup_rec(L, v, start, end):
    if end < start:
        return -1
    else:
        m = (start + end)//2
        if L[m] == v: #found!
            return m
        elif v < L[m]: #look to the left
            return lookup_rec(L, v, start, m-1)
        else: #look to the right
            return lookup_rec(L, v, m+1, end)

my_list = [1, 3, 5, 11, 17, 121, 443]
print(my_list)
print("{} in pos: {}".format(17,
                             lookup_rec(my_list, 17, 0, len(my_list)-1)))
print("{} in pos: {}".format(4,
                             lookup_rec(my_list, 4, 0, len(my_list)-1)))
print("{} in pos: {}".format(443,
                             lookup_rec(my_list, 443, 0, len(my_list)-1)))
```

```
[1, 3, 5, 11, 17, 121, 443]
17 in pos: 4
4 in pos: -1
443 in pos: 6
```

2 comparisons (==, <) at each call

How many total comparisons?

At beginning 1024 elements...

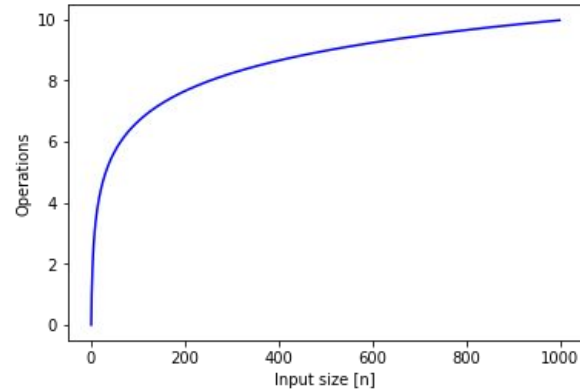
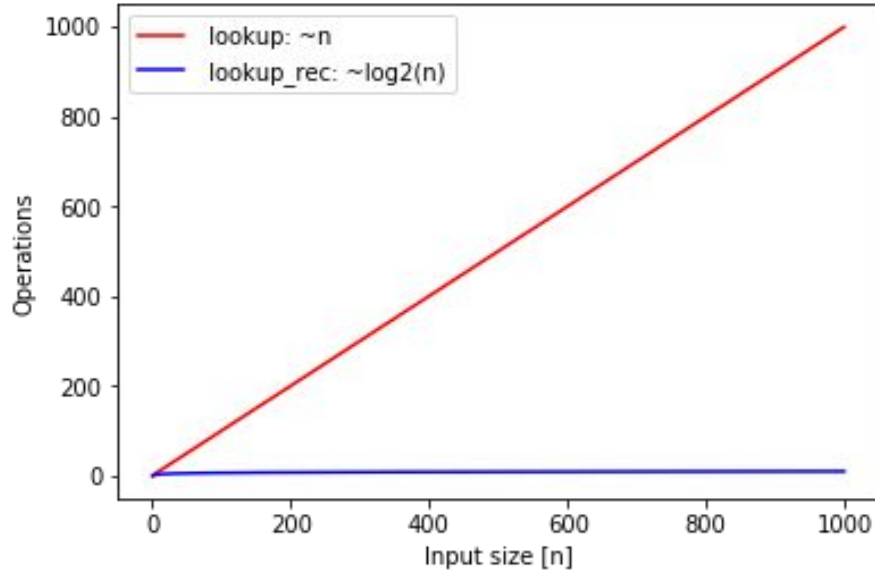
then 512...  
then 256...  
then 128...  
then 64...  
then 32...  
then 16...  
then 8...  
then 4...  
then 2...  
then 1

→  $\log_2(1024) + 1$  iterations

**“Complexity”** ~  $2 * \log_2 n$



# Lookup analysis



# Correctness

## Invariant

A condition that is always true in a specific point in an algorithm

## Loop invariant

- A condition that is always true at the beginning of a loop iteration
- what is exactly the beginning of a loop iteration?

## Class invariant

- A condition always true when the execution of a class method is completed

# Correctness

The **loop invariant** helps us proving that an iterative algorithm is **correct**:

By induction...

**Initialization (base case):**

**Prove** that the condition is true before the first iteration

**Conservation (inductive step):**

If the condition is true before the iteration of the loop, then **prove** that it remains true at the end (before the next iteration)

**Conclusion:**

At the end, **the invariant** must represent the "correctness" of the algorithm

# Correctness of min

**Invariant:** At the beginning of **iteration i** of the while loop, min\_so\_far contains the partial minimum of the elements in S[0:i].

```
def my_faster_min(S):  
    min_so_far = S[0] #first element  
    i = 1  
    while i < len(S):  
        if S[i] < min_so_far:  
            min_so_far = S[i]  
        i = i + 1  
    return min_so_far
```

```
A = [7, -1, 9, 121, -3, 4, 13]
```

```
print(A)  
print("min: {}".format(my_min(A)))
```

```
[7, -1, 9, 121, -3, 4, 13]  
min: -3
```

**Base case:**

min\_so\_far = S[0] **IS** the  
minimum of elements in S[0:1]

**Induction step:**

(assuming min\_so\_far is the  
minimum of S[0:i]) at each  
iteration i, min\_so\_far is  
updated **IFF**  $S[i] < \text{min\_so\_far}$



**min\_so\_far always contains  
min of elements S[0:i]**

# Correctness of lookup

**Exercise:** prove the correctness of lookup\_rec

```
def lookup_rec(L, v, start, end):
    if end < start:
        return -1
    else:
        m = (start + end)//2
        if L[m] == v: #found!
            return m
        elif v < L[m]: #look to the left
            return lookup_rec(L, v, start, m-1)
        else: #look to the right
            return lookup_rec(L, v, m+1, end)

my_list = [1, 3, 5, 11, 17, 121, 443]
print(my_list)
print("{} in pos: {}".format(17,
                             lookup_rec(my_list, 17, 0, len(my_list)-1)))
print("{} in pos: {}".format(4,
                             lookup_rec(my_list, 4, 0, len(my_list)-1)))
print("{} in pos: {}".format(443,
                             lookup_rec(my_list, 443, 0, len(my_list)-1)))
```

```
[1, 3, 5, 11, 17, 121, 443]
17 in pos: 4
4 in pos: -1
443 in pos: 6
```

This is a recursive code, we cannot use the loop invariant but can still prove the correctness by induction.

The induction can be done on the number of elements in the list:

$n = \text{end} - \text{start}$

# Correctness of lookup

**Exercise:** prove the correctness of `lookup_rec`.  
By induction on  $n = \text{end} - \text{start}$

**Base case ( $n = 0$ )**

**Inductive hypothesis:** given a size  $n$ , let us assume that the algorithm is correct for all sizes  $n' < n$

**Inductive step:** given inductive hypothesis, prove invariant still holds for size  $n$ .

```
def lookup_rec(L, v, start, end):
    if end < start:
        return -1
    else:
        m = (start + end)//2
        if L[m] == v: #found!
            return m
        elif v < L[m]: #look to the left
            return lookup_rec(L, v, start, m-1)
        else: #look to the right
            return lookup_rec(L, v, m+1, end)
```

# Correctness of lookup

**Exercise:** prove the correctness of `lookup_rec`.  
By induction on  $n = \text{end} - \text{start}$

**Base case ( $n = 0$ ):** if  $n == 0$ , this means that  $\text{end} < \text{start}$ .  
The algorithm returns  $-1$ . **Correct** given that if  $n == 0$ ,  $v$  is not present.

**Inductive hypothesis:** given a size  $n$ , let us assume that the algorithm is correct  
for all sizes  $n' < n$

**Inductive step:** given a size  $n > 0$ , let  $m$  be the median element.

If  $L[m] == v$ , then the algorithm returns  $m$ , because  $m$  is the actual position of  $v$   $\rightarrow$   
hence  $v$  is in  $m = \text{start} + \text{end} // 2$  that **is in  $L[\text{start}:\text{end}]$**

If  $v < L[m]$ , then if  $v$  is present, since  $S$  is sorted, it must be located in  $L[\text{start}:m]$ .  
By inductive hypothesis, **`lookup_rec(L, v, start, m-1)` will return the correct position** of  $v$  if present, or  $-1$  if not present (since  $m-1 - \text{start}$  is smaller than  $n$ ).

if  $v > L[m]$  is symmetric.

```
def lookup_rec(L, v, start, end):
    if end < start:
        return -1
    else:
        m = (start + end) // 2
        if L[m] == v: #found!
            return m
        elif v < L[m]: #look to the left
            return lookup_rec(L, v, start, m-1)
        else: #look to the right
            return lookup_rec(L, v, m+1, end)
```